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| Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1 | Application Number | 10/599730 |
| | Filing Date | April 8, 2005 |
| | First Named Inventor | Zarraga, Isidro Angelo E. |
| | Confirmation Number | 3329 |
| | Attorney Case Number | 59706US006 |

| U.S. Patent Documents | | | | | |
|-----------------------|----------|----------------------------------|--------------------------------|--|---|
| Exam. Init.* | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Doc. Number-(Kind Code if Known) | | | |
| | A1 | US- 2004/0010007 A1 | 01-15-2004 | Dellaria et al. | |
| | A2 | US- 2004/0132766 A1 | 07-08-2004 | Griesgraber | |
| | A3 | US- | | | |
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| | A7 | US- | | | |

| Foreign Patent Documents | | | | | | |
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| Exam. Init.* | Cite No. | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Ctry. Code | Number-KindCode (if known) | | | |
| | B1 | CA | 2468011 A1 | 05-30-2003 | | |
| | B2 | EP | 0394026 B1 | 09-29-1993 | | |
| | B3 | WO | 02/102377 A1 | 12-27-2002 | | |
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| | B6 | | | | | |

| OTHER DOCUMENTS | | | |
|-----------------|----------|---|-------------------------------|
| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation (Check if yes) |
| | C1 | Clapper et al., <i>Trans. Soc. Biomat.</i> 16:42, (1993). | |
| | C2 | Gref et al., "'Stealth' corona-core nanoparticles surface modified by polyethylene glycol (PEG): influences of the corona (PEG chain length and surface density) and of the core composition on phagocytic uptake and plasma protein adsorption," <i>Colloids and Surfaces B: Biointerfaces</i> , 2000; 18:301-313. | |
| | C3 | Nektar Molecule Eng. Polyeth. Glycol and Derivatives for Advanced PEGylation. Cataloge 2003 | |

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| *Examiner: | Date Considered: |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

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Customer Number

Patent
Case No.: 59706US006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ZARRAGA, ISIDRO ANGELO E.
Application No.: 10/599730 Confirmation No.: 3329
Filed: April 8, 2005
Title: METHODS, COMPOSITIONS, AND PREPARATIONS FOR DELIVERY OF
IMMUNE RESPONSE MODIFIERS

INFORMATION DISCLOSURE STATEMENT

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P.O. Box 1450
Alexandria, VA 22313-1450

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I hereby certify that this correspondence is being:

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June 28, 2007

Date

Chris Johnson
Signed by: Chris Johnson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits.

Fees

- ☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
☒ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

June 28, 2007
Date

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